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Docket No.: PBLI-P08-005

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Sidney Pestka

Application No.: 09/891093

Filed: June 25, 2001

MODIFIED INTERFERONS For:

Group Art Unit: 1646

Examiner: Mertz, P.M.

NOV. 0 8 2002

TECH CENTER 1600/2900

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents Washington, DC 20231

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed more than three months after the U.S. filing date, OR more than three months after the date of entry of the national stage of a PCT application, AND after the mailing date of the first Office Action on the merits, whichever occurs first, but before the mailing date of a Final Rejection or Notice of Allowance.

A copy of each reference on PTO/SB/08 is attached.

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

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Application No.: 09/891093

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In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

Please charge our Deposit Account No. 18-1945 in the amount of \$180.00 covering the fee set forth in 37 CFR 1.17(p). The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-1945, under Order No. PBLI-P08-005.

Dated:

Respectfu

Matthew D. Vincent

Registration No.: 36,709

(617) 951-7000

(617) 951-7050 (Fax)

Attorneys for Applicant

PTO/SB/17 (11-01) Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known **FEE TRANSMITTAL** 09/891093 Application Number June 25, 2001 for FY 2002 Filing Date First Named Inventor Sidney Pestka RECEIVED Patent fees are subject to annual revision. **Examiner Name** Mertz, P.M. Applicant claims small entity status. See 37 CFR 1.27 1646 Group Art Unit 101 OTAL AMOUNT OF PAYMENT 180.00 Attorney Docket No. PBLI-P08-005 METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) TECH CENTER 1600/2900 3. ADDITIONAL FEES None Х Deposit Account Large Entity Small Entity Deposit Account 18-1945 Fee Fee Fee Fee **Fee Description** Number Code (\$) Code (\$) Fee Paid Deposit 105 130 205 65 Surcharge - late filing fee or oath Ropes & Gray Account Name Surcharge - late provisional filing fee or cover 127 50 227 25 The Commissioner is hereby authorized to: (check all that apply) sheet X Charge fee(s) indicated below X Credit any overpayments 139 130 139 130 Non-English specification Charge any additional fee(s) during the pendency of this application 2,520 147 147 2.520 For filing a request for ex parte reexamination Requesting publication of SIR prior to Charge fee(s) indicated below, except for the filing fee 112 920* 112 9201 to the above-identified deposit account. Requesting publication of SIR after 113 1.8401 113 1.8401 Examiner action FEE CALCULATION 215 115 110 55 Extension for reply within first month 1. BASIC FILING FEE 116 400 216 200 Extension for reply within second month Large Entity Small Entity 217 117 920 460 Extension for reply within third month Fee Fee Fee Fee Fee Description 118 1,440 218 720 Extension for reply within fourth month (\$) Code (\$) Fee Paid 101 201 Utility filing fee 740 370 128 1.960 228 980 Extension for reply within fifth month 106 330 206 165 Design filing fee 119 320 219 160 Notice of Appeal 107 510 207 255 Plant filing fee 120 320 220 160 Filing a brief in support of an appeal 108 740 208 370 Reissue filing fee 121 280 221 140 Request for oral hearing 160 214 Provisional filing fee 138 138 1.510 1.510 Petition to institute a public use proceeding 140 110 240 Petition to revive - unavoidable SUBTOTAL (1) 0.00 141 1,280 241 640 Petition to revive - unintentional 1,280 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 142 242 640 Utility issue fee (or reissue) Extra Fee from Fee Paid 143 460 243 230 Design issue fee Total Claims 144 620 244 310 Plant issue fee Independent 122 122 130 130 Petitions to the Commissioner 123 50 123 Multiple Dependent 50 Processing fee under 37 CFR 1.17(q) arge Entity Small Entity 126 180 126 180 Submission of Information Disclosure Stmt 180.00 Fee (\$) Recording each patent assignment per Fee Description 581 40 581 40 Code Code (\$) property (times number of properties) 103 18 203 Filing a submission after final rejection 9 Claims in excess of 20 146 740 246 370 (37 CFR 1.129(a)) 102 84 202 42 Independent claims in excess of 3 For each additional invention to be 149 740 249 104 280 204 Multiple dependent claim, if not paid 140 examined (37CFR 1.129(b)) 109 84 209 * Reissue independent claims 179 740 279 370 Request for Continued Examination (RCE) over driginal patent Request for expedited examination 169 900 169 110 18 210 * Reis ue claims in excess of 20 of a design application and over original patent Other fee (specify) SUBTOTAL (2) (\$) *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 180.00

2002

SUBMITTED BY Complete (if applicable) Registration No. Name (Print/Type) Matthew P Vincer 36,709 Telephone (617) 951-7739 (Attorney/Agent) Signature

**or number previously paid if greater, For RefSsues, see above